

## Quarterly Compliance Report on Corporate Governance

Name of the Company: **Ruia Aquaculture Farms Limited**  
 Quarter Ending on: **30<sup>th</sup> September, 2015**

Particulars	Clause of Listing agreement	Compliance Status Yes/No	Remarks
<b>II. Board of Directors</b>	49(II)		
(A) Composition of Board	49(IIA)	No	
(B) Independent Directors	49(IIB)	No	
(C) Non-executive Director's compensation & disclosures	49 (IIC)	No	
(D) Other provisions as to Board and Committees	49 (IID)	No	
(E) Code of Conduct	49(IIIE)	Yes	
(F) Whistle Blower Policy	49(IIIF)	Yes	
<b>III. Audit Committee</b>	49 (III)		
(A) Qualified & Independent Audit Committee	49 (IIIA)	No	
(B) Meeting of Audit Committee	49 (IIIB)	No	
(C) Powers of Audit Committee	49 (IIIC)	No	
(D) Role of Audit Committee	49 (IIID)	No	
(E) Review of Information by Audit Committee	49 (IIIE)	No	
<b>IV. Nomination and Remuneration Committee</b>	49(IV)	No	
<b>V. Subsidiary Companies</b>	49 (V)	NA	Company does not have any subsidiary Company
<b>VI. Risk Management</b>	49 (VI)	NA	
<b>VII. Related Party Transactions</b>	49(VII)	NA	
<b>VIII. Disclosures</b>	49(VIII)		
(A) Related Party Transactions	49(VIIIA)	NA	There are no related party transactions
(B) Disclosure of Accounting Treatment	49(VIIIB)	Yes	
(C) Remuneration of Directors	49 (VIIC)	Yes	
(D) Management	49 (VIID)	Yes	
(E) Shareholders	49 (VIIE)	Yes	Information has been sent/furnished to the shareholders from time to time
(F) Proceeds From Public issues, right issue, preferential issues, etc	49( VIIF)	NA	No money has been raised from the public issues , right issues, preferential

IX. CEO/CFO Certification	49(IX)	Yes	Complied in the Annual report of the last year and will be complied again in the coming year.
X. Report on Corporate Governance	49 (X)	Yes	Complied in the Annual report of the last year and will be complied again in the coming year.
XI. Compliance	49 (XI)	Yes	Complied in the Annual report of the last year and will be complied again in the coming year.

For RUIA AQUACULTURE FARMS LIMITED

Date: October 07, 2015  
Place: Mumbai

  
 Sharad Ruia  
 Chairman & Compliance Officer

